

02-0702

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 47590/DBP/T360
Inventor(s) : Kosuke Nishimura; Masashi Usami; and Hidenori Takahashi
Title : OPTICAL COUPLING STRUCTURE
Express Mail Label No. : EL903019102US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: February 4, 2002

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

___ This application is a ___ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

___ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

Specification

17 Specification, claims and Abstract (total pages)

Drawings

5 Sheets of formal drawing(s) (FIGS. 1 to 5)

Declaration and Power of Attorney

☒ Newly executed

___ Unexecuted declaration

___ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ___ **Microfiche Computer Program** (Appendix)

5. ___ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

___ Computer Readable Copy

___ Paper Copy (identical to computer copy)

___ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

___ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

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7. ALSO ENCLOSED ARE

- ☒ Preliminary Amendment
☒ Includes "Cross-Reference to Related Applications"
☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
☒ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
☐ English Translation Document (*if applicable*)
☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
☐ Other

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By *D. Bruce Prout*
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/aam

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: February 4, 2002

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Inventor(s) :	Kosuke Nishimura; Masashi Usami; and Hidenori Takahashi
Title :	OPTICAL COUPLING STRUCTURE
	Duplicate <u>X</u>

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	8 - 20	0	x \$9.00	0 x \$18.00	\$0.00
INDEPENDENT CLAIMS	2 - 3	0	x \$42.00	0 x \$84.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$0.00
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$740.00
List Independent Claims: 1 and 6					

METHOD OF PAYMENT

- X Payment Enclosed: Check for \$740.00
- X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By *D. Bruce Prout*
D. Bruce Prout
Reg. No. 20,958
626/795-9900